

Please read the instructions carefully and complete the relevant section legibly in black / dark coloured ink and in BLOCK LETTERS.

To,
Samco Mutual Fund

Folio No. :

Investment was made in the above Folio when I was a minor and the same was represented by _____
<Guardian Name>. As I have completed 18 years of age as on _____ <Date>, I hereby request to update, my status as Individual and remove the Guardian Name. Please also update the following details in your records for the above referred Folio.

1. INVESTOR DETAILS

Name of Sole / 1st Applicant ☐ Mr. ☐ Ms. ☐ Other Please Specify

***PAN/PEKRN** **Aadhar** **Date of Birth**

Tax Status: ☐ Residential ☐ NRI/PIO/OCI **Contact Details** **Mobile no** **Email**

This email id belongs to (Mandatory Please ✓): ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian

This mobile no belongs to (Mandatory Please ✓): ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian

Tel no. **Res** **Off** **Fax**

Occupation ☐ Pvt. Sector ☐ Public Sector ☐ Govt. Service ☐ Business ☐ Professional ☐ Agriculturist ☐ Retired ☐ Housewife
☐ Student ☐ Others Please Specify

Gross Annual Income ☐ Below 1 Lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ >25 Lacs - 1 Crore ☐ >1 Crore

Net Worth in ₹ as on (Not older than 1 year)

2. BANK ACCOUNT DETAILS

A/c Type (please ✓) ☐ SB ☐ Current ☐ NRO ☐ NRE ☐ FCNR

Bank Name **IFSC** **MICR**

Account No **Branch** **City** **Pin**

3. *FATCA AND CRS DETAILS FOR INDIVIDUALS (Mandatory)

The below information is required for all applicants/guardian

	Place / City of Birth	Country of Birth	Country of Citizenship / Nationality			
First Applicant / Guardian			☐ Indian	☐ U.S.	☐ Others	Please Specify
Second Applicant			☐ Indian	☐ U.S.	☐ Others	Please Specify
Third Applicant			☐ Indian	☐ U.S.	☐ Others	Please Specify

Is your Tax Residency/Country of Birth/Citizenship/Nationality other than India? ☐ Yes ☐ No (please ✓)

If yes, please indicate all countries in which you are resident for tax purpose and the associated Tax ID number below, In case of POA, the POA holder should mandatorilly fill Annexure I for complete details.

	Country of Tax Residency	Tax Identification Number or Functional Equivalent	Identification Type (TIN or other please specify)	Identification Type (TIN or other please specify)
First Applicant / Guardian				Reasons ☐ A ☐ B ☐ C
Second Applicant				Reasons ☐ A ☐ B ☐ C
Third Applicant				Reasons ☐ A ☐ B ☐ C

Reason A - The country where the Account Holder is liable to pay tax does not issue Tax Identification Number to its residents

Reason B - No TIN required (Select this reason only if the authorities of the country of tax residence do not require the TIN to be cancelled)

Reason C - Others please state the reasons thereof



Folio No. :

Name Mr. / Ms. / M/s

Received Request for Minor attaining Major ☐

4. Nomination Details (Please tick ✓)

- ☐ I/We do hereby nominate the undermentioned Nominee(s) to receive the Units allotted to my/our credit in my/our folio in the event of my/our death. I/We also understand that all payment and settlements made to such Nominee(s) and Signature of the Nominee(s) acknowledging receipt thereof, shall be a valid discharge by the AMC/Mutual Fund/Trustees.
- OR
- ☐ I/We hereby confirm that I/We do not wish to appoint any nominee(s) for my mutual fund units held in my/our mutual fund folio and understand the issues involved in non appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my/our legal heirs would need to submit all the requisite documents issued by Court or other such competent authority, based on the value of assets held in the mutual fund folio.

	Details	1 st Nominee	2 nd Nominee	3 rd Nominee
1	Name of the Nominee*			
2	Relationship with the Applicant			
3	Date of Birth (to be provided in case the nominee is a minor)			

a. I want the following nomination details to be printed in the account / holding statements (tick one of the boxes below):

- ☐ Name of the Nominee(s)
- ☐ Whether nomination given: Yes / No (not name of the nominee)

b. Optional Details of Nomination:

	Details	1 st Nominee	2 nd Nominee	3 rd Nominee
a.	% Share of Nominee**(equal share if % is not specified)			
b.	Mobile of Nominee*** (Please note that the DP/MF RTA will be able to reach out to your nominee if you provide the contact details)			
c.	Email ID of Nominee*** (Please not that the DP/MF RTA will be able to reach out to your nominee if you provide the contact details)			
d.	Specify Personal identifier document Aadhaar (last four digit), PAN, Driving Licence, Passport***			

**Any odd lot after division shall be transferred to the first nominee mentioned in the form.
***The aforesaid details shall be optionally provided for the Guardian, in case of nominee is a minor.

Signature (s)

Signature

^Attestation

^Signature of minor who has turned major needs to be attested by parent/guardian whose signature is registered in the Mutual Fund records or by banker.

TERMS AND CONDITIONS

As per SEBI regulations when units are held on behalf of the minor, the ownership of the units rests with the minor. A guardian shall operate the account until the minor attains the age of majority.

1.

In the event of minor attaining majority, the existing standing instructions on SIP/STP/SWP shall be suspended when the minor attains majority, till the status is changed to major.. Prior to minor attaining majority, an advance notice will be sent to the registered Email ID /correspondence address advising the guardian to submit an application form (attached herewith) along with prescribed documents specified therein to change the status of the account to “major”.

2.

The account shall be frozen for operation by the guardian on the day the minor attains the age of majority and no further transactions shall be allowed till the status of the minor is changed to major.

