SAMCO MUTUAL FUND
*The Momentum House.*

**Sponsor:** Samco Securities Limited
**Trustee Company:** Samco Trustee Private Limited
**Investment Manager:** Samco Asset Management Private Limited

Samco Mutual Fund
1003 – A, Naman Midtown, Senapati Bapat Marg, Prabhadevi (W), Mumbai - 400 013

# Nomination form Registration / Change/Opt-out

## Mandatory details

| Sr. No. | Folio No. | Sr. No. | Folio No. |
|---|---|---|---|
| 1 | | 3 | |
| 2 | | 4 | |

| | Particulars | 1st Holder | 2nd Holder | 3rd Holder |
|---|---|---|---|---|
| 1 | Name of the Investor | | | |
| 2 | PAN No. | | | |

## 1. I/We wish to make nomination (as per details given below)

(You can nominate upto 3 persons)

| | Details | 1st Nominee | 2nd Nominee | 3rd Nominee |
|---|---|---|---|---|
| 1 | Name of the Nominee* | | | |
| 2 | Relationship with the Applicant | | | |
| 3 | Date of Birth (to be provided in case the nominee is a minor) | | | |

## 2. I want the following nomination details to be printed in the account / holding statements (tick one of the boxes below):

☐ Name of the Nominee(s) ☐ Whether nomination given: Yes / No (not name of the nominee)

## 3. Optional Details of Nomination:

| | Details | 1st Nominee | 2nd Nominee | 3rd Nominee |
|---|---|---|---|---|
| a. | % Share of Nominee**(equal share if % is not specified) | | | |
| b. | Mobile of Nominee*** (Please note that the DP/MF RTA will be able to reach out to your nominee if you provide the contact details) | | | |
| c. | Email ID of Nominee*** (Please not that the DP/MF RTA will be able to reach out to your nominee if you provide the contact details) | | | |
| d. | Specify Personal identifier document<br>• Aadhaar (last four digit), PAN, Driving Licence, Passport*** | | | |

**Any odd lot after division shall be transferred to the first nominee mentioned in the form.
***The aforesaid details shall be optionally provided for the Guardian, in case of nominee is a minor.

## 4. Signature:

| Particulars | Signature / Thumb Impresssion of Holder(s) | Witness Name | Witness Address | Witness Signature* |
|---|---|---|---|---|
| **Sole / First Holder (Mr./Ms.)** | | | | |
| **Second Holder (Mr./Ms.)** | | | | |
| **Third Holder (Mr./Ms.)** | | | | |

*Signature of two witnesses, along with name and address are required, if the account holder affixes thumb impression instead of signature.

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## ACKNOWLEDGEMENT SLIP
(To be filled by the investor)

**Folio No.**

**Name** Mr. / Ms. / M/s.

**Received Request for** ☐ Nomination Registration ☐ Nomination Opt-out

Stamp & Signature

## Declaration for Opting-out of Nomination

| Sr.No | Folio No. | Sr.No | Folio No. |
|---|---|---|---|
| 1 | | 3 | |
| 2 | | 4 | |

| | Particulars | 1st Holder | 2nd Holder | 3rd Holder |
|---|---|---|---|---|
| 1 | Name of the Investor | | | |
| 2 | PAN No. | | | |

I hereby confirm that I do not wish to appoint any nominee(s) to my demat account/mutual fund folio at this point of time.

I understand that-

(i) the nomination helps to quickly identify the person for transfer of securities and helps in faster and smoother transmission of my securities to my legal heir(s) after my demise.

(ii) in the absence of a nomination, my legal heir(s) may require the submission of certain additional legal or court-issued documents which may delay the process of transmission of securities to my legal heir(s).

(ii) if no claim is made on the account / folio for a prolonged period after my demise, the holdings may be treated as unclaimed assets and they may be transferred to Investor Education and protection Fund Authority (IEPF) in accordance with the applicable regulatory framework.

I confirm that I have understood the above implications and that my decision to opt out of nomination is voluntary.

## Signature:

| Particulars | Signature / Thumb Impresssion of Holder(s) | Witness Name | Witness Address | Witness Signature* |
|---|---|---|---|---|
| Sole / First Holder (Mr./Ms.) | | | | |
| Second Holder (Mr./Ms.) | | | | |
| Third Holder (Mr./Ms.) | | | | |

*Signature of two witnesses, along with name and address are required, if the account holder affixes thumb impression instead of signature.

**Note:**

- Investors can provide, changes or cancel nominations any number of times.
- The signature of all the joint holders shall be obtained for providing/changing nomination regardless of mode of operations.
- This nomination shall supersede any prior nomination made by the investor(s), if any.
- Investor have the right to receive acknowledgement of the nomination form for each instance of nomination/subsequent change.

**Additional points to be noted:**

- Non-individuals including a Society, Trust, Body Corporate, Partnership Firm, Karta of Hindu undivided family, a Power of Attorney holder and/or Guardian of Minor unitholder cannot nominate.
- Nomination is not allowed in a folio where Minor is the unitholder.
- A minor may be nominated. In that event, the name and address of the Guardian of the minor nominee is to be provided optionally.
- Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
- The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of Hindu Undivided Family, or a Power of Attorney holder.
- A Non-Resident Indian may be nominated subject to the applicable exchange control regulations.
- Nomination made by a unit holder shall be applicable for units held in all the schemes under the respective folio / account.
- Nomination shall stand rescinded upon the transfer of units.
- Transmission of units in favour of a Nominee shall be valid discharge by the asset management company/ Mutual Fund / Trustees against the legal heir(s).
- The nomination will be registered only when this form is completed in all respects to the satisfaction of the AMC.
- In respect of folios/accounts where the Nomination has been registered, the AMC will not entertain any request for transmission / claim settlement from any person other than the registered nominee(s), unless so directed by any competent court.
- Upon demise of the investor, the nominees shall have the option to either continue as joint holders with other nominees or for each nominee(s) to open separate single account / folio.
- Nominee(s) shall extend all possible co-operation to transfer the assets to the legal heir(s) of the deceased investor. In this regard, no dispute shall lie against the AMC / DP.