

1. Distributor Information

Distributor Code	Sub-Broker Code	Internal sub broker code	EUIN*	RIA Code^

*Investors should mention the EUIN of the person who has advised the investor. If left blank, the fund will assume following declaration by the investor “I/We hereby confirm the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker”.

^I/We, have invested in the below mentioned scheme of Samco Mutual Fund under the Direct Plan. I/We hereby give my/our consent to share/provide the transaction data feed / portfolio holdings / NAV etc. in respect of this particular transaction, to the SEBI Registered Investment Advisor (RIA) bearing the above mentioned registration number.

Signatures	Signature of Sole/First Holder	Signature of Second Holder	Signature of Third Holder

2. Unitholder Information

Name of First / Sole Applicant: **PAN:**

Folio No. (For Existing Unit Holders): **CKYC No.:**

☐ Registration ☐ Cancellation

From Scheme ☐ **Samco Overnight Fund** ☐ **Samco Arbitrage Fund** Plan: ☐ Regular ☐ Direct Option: ☐ Growth

To Scheme Plan: ☐ Regular ☐ Direct Option: ☐ Growth ☐ IDCW Sub Option: ☐ Payout of IDCW ☐ Reinvestment of IDCW ☐ Transfer of IDCW (Specify in Target Scheme)	Target Scheme Plan: ☐ Regular ☐ Direct Option: ☐ Growth
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TSTP shall only be eligible if minimum amount in source scheme is Rs. 25,000/-

This facility is useful for schemes that are fully invested in equities and is not useful for schemes that have hedging provisions or for multiple assets.

Base Instalment Amount Rs:
(Minimum of Rs.1,000)

Frequency: ☐ Weekly ☐ Monday ☐ Tuesday ☐ Wednesday ☐ Thursday ☐ Friday

☐ Monthly ☐ Quarterly TSTP Date*: Investor can select any date of each Month & Quarter (other than 29,30,31)

(only in case of Monthly and Quarterly frequencies) *In case the day/date chosen for TSTP falls on a Non-Business Day or on a date which is not available in a particular month, the TSTP will be processed on the immediate next Business Day

Tenure: Start Date: End Date: Under TSTP default option will get registered till 31-12-2099

OR

No. of Instalments:

OR

Target Amount (Rs.): TSTP will automatically cease when cumulative installment through TSTP reaches the target amount.

DECLARATION

Having read and understood the contents of the Scheme Information Document, Key Information Memorandum cum application and Statement of Additional Information and subsequent amendments thereto including the section on who cannot invest, “Prevention of Money Laundering” and “Know Your Customer”, I/We hereby apply to Samco Mutual fund for units of the Scheme as indicated above and agree to abide by the terms and conditions, rules and regulations of the Scheme. I/We further declare, I am / we are authorised to invest the amount & that the amount invested by me/us in the above mentioned Scheme(s) is issued by the governmental or statutory authority from time to time. It is expressly understood that I/We have the express authority from our constitutional documents to invest in the units of the Scheme(s) and the AMC/Trustee/Fund would not be responsible if the investment is ultra vires thereto and the investment is contrary to the relevant constitutional documents. I/We undertake that these investments are my/our own and acknowledge that AMC reserves the right to call for such other additional information/documents as required to comply with PMLA/KYC/FATCA norms. I/We hereby, further agree that the Fund can directly credit all the redemption amount to my bank details given above. I/We hereby declare that the particulars stated above are correct. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds form amongst which the Scheme is being recommended to me/us. I/We further agree that the Fund/AMC can send us all types of SMS relating to the products offered by them. Applicable to NRI only: I/We confirm that I am / we are Non Resident of Indian Nationality/Origin and I/We hereby confirm that the funds ofr subscription have been remitted from abroad through approved banking channels from funds in my/our Non-Resident External/Ordinary Account/FCNR Account.

Signature of Sole/First Holder	Signature of Second Holder	Signature of Third Holder

Terms and Conditions and Instructions for Timer Systematic Transfer Plan facility (TSTP):

- What is a Timer STP Plan? - Timer STP is a facility wherein an investor under the specified scheme(s) ('Source Schemes') can opt to transfer variable amount, on the predetermined dates to any open-ended equity Scheme(s) ('Target Scheme') at defined intervals. The variable amount(s) or amount(s) of transfer to the Target Scheme will be linked to the Equity Margin of Safety Index (EMOSI) as computed by the AMC on the date of respective transfer.
- The form should be completed in English and in Block Letters only. Please ☒ in the appropriate box, where boxes have been provided.
- Investors are advised to read the Scheme Information Document(s) of each of the Target Scheme(s) and the applicable Statement of Additional Information carefully before exercising the option/ investing. The Scheme Information Document(s) / Statement of Additional Information/ Key Information Memorandum(s) of the respective Scheme(s) are available with the Investor Service Centers of Samco Mutual Fund, brokers/distributors and displayed at the website www.samcofund.com.
- TSTP Enrollment Form complete in all respects, should be submitted at any of the Investor Service Centers (ISCs) of Samco Mutual Fund.
- Eligibility Criteria for TSTP:** An investor must maintain minimum balance/ investment of Rs. 25,000/- in the opted source scheme at the time of registration of TSTP. If such minimum balance/- investment is not available and the TSTP registration request will be liable to be rejected.
- Frequency of TSTP:** Investors may choose Weekly or Monthly or Quarterly Frequency in the Form; in case investor fails to mention frequency or opted multiple frequencies / date, default option would be Monthly and the default date will be 10th.
- For Weekly frequency, investor can select any day between Monday to Friday. In case frequency day not provided in form, the default day will be every Monday.
- For Monthly & Quarterly frequency, investor can select any date (other than 29,30,31). In case the date is not indicated, the default date will be 10th.
- Tenure:** Investors may choose (1) Target Amount or (2) No of installments or (3) End date in the form. If this information is not provided / incomplete, the TSTP will be registered by default till 31-Dec-2099.
- There is no maximum duration for TSTP enrollment. However, TSTPs will be registered in a folio held by a minor, only till the date of the minor attaining majority, even though the instructions may be for a period beyond that date, the TSTP facility will automatically stand terminated upon the Unit Holder attaining 18 years of age.
- Base Instalment amount:** The Investor would required to provide a base installment amount that is intended to be transferred to the target scheme at regular interval. At presently minimum base instalment amount in Source scheme is Rs 1,000/- in multiple of Re.1/-
- The provision of 'Minimum redemption amount' specified in the Scheme Information Document of source scheme and 'Minimum application amount' specified in the Scheme Information Document of the target scheme(s) will not be applicable for TSTP.
- The amount of transfer to the Target Scheme shall be in the range of 0.01x to 6x as per the multiplier selected which shall be based on the latest Equity Margin of Safety Index (EMOSI) levels which is a proprietary model of Samco Asset Management Pvt Limited (the AMC). In case the base computation amount is less than Rs. 100, then the installment will be considered as Rs. 100. However, In any case the TSTP instalment amount will not exceed 6x of the base instalment amount as per the multiplier selected. In case amount arrived in decimals the amount will be rounding off in nearest rupee.
- If investor does not mention either the PAN or Folio details, then the form is liable for rejection.
- Applicable schemes: At presently this facility is applicable for
 - Source Scheme: Samco Overnight Fund
 - Target Scheme: Other schemes of Samco Mutual Fund.
- Only one registration per target scheme in a folio would be allowed. In case of any existing registration (normal STP or TSTP) then new registration shall be rejected. Multiple TSTPs from the same source scheme to a different Target Scheme would be allowed (as and when schemes launched).
- Units will be allotted under the same folio number.
- Once registered, the facility cannot be modified. Investor may cancel an existing registration and register a fresh under New / Separate Form.
- Equity Margin of Safety Index (EMOSI):** Equity Margin of Safety Index (EMOSI) levels computed by the AMC is a proprietary model of Samco Asset Management Pvt Limited (the AMC). The EMOSI is derived by assigning different weights such as Price to Earnings (PE), G-sec yields, moving average divergences and / or other fundamental and technical factors as may be determined by the AMC from time to time.
- Based on the EMOSI and the corresponding multiplier factor, the actual amount of TSTP shall be derived for transferring the amount to the Target Scheme. Please refer to the EMOSI Multiplier table below for reference.

EMOSI value	Multiplier on Base Instalment amount to be transferred	EMOSI value	Multiplier on Base Instalment amount to be transferred
= & < 70	0.01x	101	1.05x
71	0.05x	102	1.10x
72	0.05x	103	1.15x
73	0.05x	104	1.20x
74	0.05x	105	1.25x
75	0.05x	106	1.40x

EMOSI value	Multiplier on Base Instalment amount to be transferred	EMOSI value	Multiplier on Base Instalment amount to be transferred
76	0.05x	107	1.55x
77	0.05x	108	1.70x
78	0.05x	109	1.85x
79	0.05x	110	2.00x
80	0.10x	111	2.15x
81	0.14x	112	2.30x
82	0.18x	113	2.45x
83	0.22x	114	2.60x
84	0.26x	115	2.75x
85	0.30x	116	2.95x
86	0.34x	117	3.15x
87	0.38x	118	3.35x
88	0.42x	119	3.55x
89	0.46x	120	3.75x
90	0.50x	121	3.95x
91	0.55x	122	4.15x
92	0.60x	123	4.35x
93	0.65x	124	4.55x
94	0.70x	125	4.75x
95	0.75x	126	4.95x
96	0.80x	127	5.15x
97	0.85x	128	5.35x
98	0.90x	129	5.55x
99	0.95x	130	5.75x
100	1.00x	= & > 131	6.00x
In case multiplier on base computation amount is less than Rs. 100, then the installment will be considered as Rs. 100.			

- The detailed working of the EMOSI model is proprietary to the AMC and is confidential. The AMC reserves the right to make changes to the EMOSI Model as may be necessary and as it may deem fit.
- Minimum no of Instalments** -Minimum number of installments in all frequencies will be 12.
- Transfer of Balance:** If the outstanding balance in the source scheme in investor's folio is less than the amount to be transferred on the date of TSTP, the amount so transferred will be restricted to the balance available.
- The EMOSI will be declared and uploaded in website on all business days/daily. However, the AMC reserves the right to change the frequency/day of EMOSI updation. In case the day/date of transfer falls on a Non-Business Day or on a date which is not available in a particular month, the immediate next Business Day will be considered for the purpose of determining the applicability of NAV.
- In case of nil balance in the Source Scheme, TSTP installment for that particular due date will not be processed and TSTP will cease upon five consecutive unsuccessful transactions.
- The AMC reserves the right to cease the TSTP without prior intimation, including but not limited to receipt of intimation of death of Unit holder or in any scenario as per AMC discretion.
- The TSTP is available only for units held / to be held in Non - demat Mode in the Source Scheme and the Target Scheme.
- The units of the Target Scheme will be allotted as per the closing NAV of the day on which the funds are available for utilization by the Target Scheme irrespective of the installment date of the TSTP.
- The TSTP transactions will be executed based on latest / previous day EMOSI value available.
- Exit Load-** The Load Structure will be applied at the time of instalment process in the source scheme. The amount transferred under the TSTP from the Source Scheme to the Target Scheme shall be affected by switching units of Source Scheme at applicable NAV, after payment of exit load applicable to the Source Scheme if any, and subscribing to the units of the Target Scheme at Applicable NAV. For Scheme load structure please refer to Key Information Memorandum or contact the nearest Investor Service Centre (ISC) of Samco Mutual Fund or visit our website www.samcofund.com.
- This facility is provided subject to provisions of cut off timing for applicability of NAV and time stamping requirements, provisions of the SAI and the respective SID including the provisions of the 'Prevention of Money Laundering and Know Your Customer' requirements as detailed in the SAI, and any other applicable laws, rules and regulations as may be enforced from time to time.

Terms and Conditions and Instructions for Timer Systematic Transfer Plan facility (TSTP):

- The facility will not get executed in case the units are pledged or where lien is marked on units, or if units are within the applicable statutory lock period, if any, at the time of receipt of request.
- The TSTP facility is not, in any manner an assurance or promise or guarantee on part of Samco Mutual Fund / Samco AMC to the unit holders in terms of returns or capital appreciation or minimization of loss of capital. The AMC/Trustees will not be liable for any loss, whether actual or notional on account of the decision of the Investor to opt for TSTP.
- All requests for registering or deactivating the TSTP shall be subject to an advance notice of 7 (seven) business days to the Investor Service Centers.
- The use of this Facility by the Investor will be deemed as the investor's confirmation that the investor understands and agrees to be bound by all of the terms and conditions applicable to this Facility, as detailed in the 'Timer Systematic Transfer Plan (TSTP) Facility - Form', as amended from time to time.
- The AMC reserves the right to change, modify or withdraw this facility at any point of time. However, the change will be effective only on a prospective basis. Further, the AMC reserves the right to restrict the number / type of schemes being offered through this facility.

• Illustration : Calculation of amount of Timer STP:

The amount to be transferred on each TSTP date will be determined basis the Equity Margin of Safety Index (EMOSI) level on the date of respective transfer as explained below.

Source : Samco Overnight Fund

Target : Samco Flexi Cap Fund

Date – 10th date

Frequency - Monthly

Base Instalment Amount- Rs. 1,000/-

Number of Instalments - Default i.e. 2099

Investment Date in Source Fund – October 2022

TSTP Initiated from November 10, 2022

a. Calculation of Timer STP instalment amount on the date of the instalment of November 10, 2022:

- If the EMOSI on November 10, 2022, is 115, matrix defines a transfer of 2.75x of the base instalment amount. (1,000 *2.75), Rs. 2,750 will be transferred to Samco Flexi Cap Fund.

b. Calculation of Timer STP instalment amount on the date of the instalment of December 10, 2022:

- If the EMOSI on December 10, 2022, is 90, matrix defines a transfer of 0.5x of the base instalment amount (1,000*0.5), Rs. 500 will be transferred to Samco Flexi Cap Fund.

TSTP date	Assumed EMOSI value	Multiplier on Base instalment Amount	Transfer Amount (Rs)
(A)	(B)	(C)	(Rs. 1,000 X Column C)
10-Nov-22	115	2.75x	2,750
10-Dec-22	90	0.50x	500
10-Jan-23	120	3.75x	3,750
10-Feb-23	127	5.15x	5,150
10-Mar-23	150	6.00x	6,000
10-Apr-23	130	5.75x	5,750
10-May-23	119	3.55x	3,550
10-Jun-23	106	1.40x	1,400
10-Jul-23	100	1.00x	1,000
10-Aug-23	95	0.75x	750
10-Sep-23	90	0.50x	500
10-Oct-23	85	0.30x	300
10-Nov-23	60	0.01x	100
10-Dec-23	82	0.18x	180
10-Jan-24	96	0.80x	800

The above table is for illustrative purposes only.